

● PRINTER RUSH ●
(PTO ASSISTANCE)

Application : 09/964,247 Examiner : Hoang GAU : 2194
From : J. Robbins Location : (IDC) FMF FDC Date : 12-6-05

Tracking # : Epm 09964247 Week Date : 8-22-05

DOC CODE	DOC DATE	MISCELLANEOUS
<input type="checkbox"/> 1449		<input type="checkbox"/> Continuing Data
<input type="checkbox"/> IDS		<input type="checkbox"/> Foreign Priority
<input type="checkbox"/> CLM		<input type="checkbox"/> Document Legibility
<input type="checkbox"/> IIFW		<input type="checkbox"/> Fees
<input type="checkbox"/> SRFW		<input type="checkbox"/> Other
<input type="checkbox"/> DRW		
<input checked="" type="checkbox"/> OATH	<u>9-26-2001</u>	
<input type="checkbox"/> 312		
<input type="checkbox"/> SPEC		

[RUSH] MESSAGE: Oath is not signed.
Signed copy was provided per PEPK 1-23-02,
but is not present.

Thank You...
JR

[XRUSH] RESPONSE: See misc comm

DONE

INITIALS: (41)

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH.
REV 10/04

PTO/SB/21 (09-04)

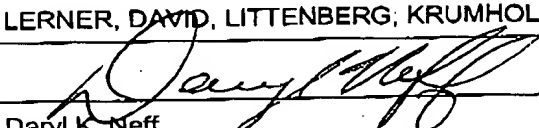
Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number	09/964,247-Conf. #1848
		Filing Date	September 26, 2001
		First Named Inventor	Nobuo Sasaki
		Art Unit	2194
		Examiner Name	P. N. Hoang
		Attorney Docket Number	SCEI 3.0-086
Total Number of Pages in This Submission		9	

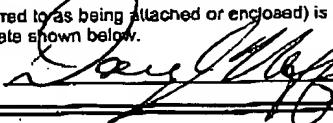
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Response to Notice to File Corrected Application Papers; copy of executed Declaration and Response to Notice to File Missing Parts of Application; copy of Notice to File Corrected Application Papers
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP		
Signature			
Printed name	Daryl K. Neff		
Date	January 5, 2006	Reg. No.	38,253

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (703) 308-6642, on the date shown below.

Dated: January 5, 2006

Signature:



(Daryl K. Neff)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (703) 308-6642, on the date shown below.

Dated: January 5, 2006

Signature: 

(Gary K. Neri)

Docket No.: SCEI 3.0-086
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Nobuo Sasaki

Application No.: 09/964,247

Group Art Unit: 2194

Filed: September 26, 2001

Examiner: P. N. Hoang

For: MULTI-PROCESSOR SYSTEM, DATA
PROCESSING SYSTEM, DATA
PROCESSING METHOD, AND COMPUTER
PROGRAM

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Corrected Application papers dated December 27, 2005, attached please find a copy of the executed Declaration, previously submitted, together with a Response to Notice to File Missing Parts of Application dated December 19, 2001.

Also enclosed is a copy of PTO form entitled "NOTICE TO FILE CORRECTED APPLICATION PAPERS."

Applicant respectfully requests that the application be allowed to proceed immediately to issuance.

If the Examiner has any questions concerning this application, he is requested to call Applicant's attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to

Application No.: 09/964,247

Docket No.: SCEI 3.0-086

charge them to our Deposit Account No. 12-1095.

Dated: January 5, 2006

Respectfully submitted,

By 

Daryl K. Neff

Registration No.: 38,253

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

620897 1.DOC

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: December 19, 2001

Signature: 

(Robert B. Cohen)

Docket No.: SCEI 3.0-086
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:
Nobuo Sasaki

Application No.: 09/964,247

Group Art Unit: 2151

Filed: September 26, 2001

Examiner: Not Yet Assigned

For: MULTI-PROCESSOR SYSTEM, DATA
PROCESSING SYSTEM, DATA PROCESSING
METHOD, AND COMPUTER PROGRAM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed for filing please find a Declaration and Power of Attorney in connection with the above-identified application. Please charge our Deposit Account No. 12-1095 in the amount of \$130.00 covering the fee set forth in 37 C.F.R. 1.16(e). Also enclosed is a copy of PTO form entitled "NOTICE TO FILE MISSING PARTS OF APPLICATION."

If the Examiner has any questions concerning this application, he or she is requested to call applicant's attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to charge them to our Deposit Account No. 12-1095.

Dated: December 19, 2001

Respectfully submitted,

By 

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000

Attorneys for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,247	09/26/2001	Nobuo Sasaki	SCEI 3.0-086

000530
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

CONFIRMATION NO. 1848

FORMALITIES LETTER



"OC000000006958555"

Date Mailed: 10/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTC 98:31 430

Approved for use through 8/2/84 OMB 0051-0022
Postal and Treasury Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

日本語実用書

As a below named inventor, I hereby declare: "Not:

My residence, post office address and citizenship are as stated
next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which is attached hereto unless the following box is checked:

X was filed on September 26, 2001
as United States Application Number or
PCT International Application Number
09/964,247 and was amended on
(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

PTO/SB/108 (8-96)

Approved for use through 9/30/98 OMB 0551-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a)-(d)および365条(b)に基づき下記の、米国以外の国の少なくとも一か国を所定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明特許の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明特許の外国出願を以て、ゆめをマークすることで、示しています。

Prior Foreign Application(s)

外国での特許出願
P2000-294732

(Number)

(番号)

Japan

(Country)

(国名)

P2001-289588

(Number)

(番号)

Japan

(Country)

(国名)

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を所定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願を提出日以後で本出願の日本国内または特許協力条約国際提出日までの期間に入平された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、私自身の知識に基づいて本宣誓書中で私が行なう表現が真実であり、かつ私の入手した情報と私の信じることに基づき証明が全て真実であると信じていること、さらに放棄になされた情報の表明及びそれと矛盾する行為は米国法典第18編第1(1)1条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような放棄による虚偽の表明を行えば、罰金、又は禁じられた特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim foreign priority under Title 38, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

27 September 2000

(Day/Month/Year Filed)

(出願年月日)

21 September 2001

(Day/Month/Year Filed)

(出願年月日)

I hereby claim the benefit under Title 38, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 38, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 38, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.66 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE
Under the Espionage Reduction Act of 1950, persons are required to withhold to a classmate of information, unless it displays a valid COMB control number

Japanese Language Declaration (日本語宣言書)

本件は、私は下記の発明者として、本一欄に関する一切の権利を本特許申請書に対して維持する権利を本欄に付与することにより、本特許申請書を提出いたします。(本欄に、本特許申請書の提出者及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Customer No. 000530

送達先

Send Correspondence to:

Customer No. 000530

直接電話連絡先 (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Robert B. Cohen, Reg. No. 32,768
Telephone: (908) 654-5000
Facsimile: (908) 654-7866

第一発明者

Full name of sole or first inventor

Nobuo Sasaki

発明者の署名

日付

Inventor's signature

Nobuo Sasaki

Date 2001

住所

Residence

Kanagawa, Japan

国籍

Citizenship

Japan

会社名

Post Office Address

c/o Sony Computer Entertainment Inc., 1-1, Akasaka 7-chome, Minato-ku;

Tokyo 107-0052 Japan

第二発明者

Full name of second joint inventor, if any

第二発明者の署名

日付

Second inventor's signature

Date

住所

Residence

国籍

Citizenship

会社名

Post Office Address

第三発明者の署名及び日付を、この欄に記述し、署名をす
ること

(Supply similar information and signature for third and subsequent joint inventors.)

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Serial Number
09964247

Date Mailed
12/27/05

NOTICE TO FILE CORRECTED APPLICATION PAPERS***Notice of Allowance Mailed***

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136 (a) or (b).

- ♦ Sole inventors signature is missing from the oath/declaration/ADS. No petition under Rule 1.47 filed. Fax missing information to number below or e-mail.
 - o For status updates visit <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR System, contact the Electronic Business Center (EBC) toll free at 866-217-9197.

APPLICANT MUST SUPPLY MISSING INFORMATION WITHIN 30 DAYS OF THE MAIL DATE OF THIS NOTICE.

A copy of this notice **MUST** be returned with the reply. Please address response to Commissioner for Patents P.O. Box 1450
Alexandria, VA 22313-1450

A handwritten signature in black ink, appearing to read "Rori Burch", written over a horizontal line.

Rori Burch
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Publishing Division
Rori.burch@uspto.gov
Fax (571) 273-9009
Fax (703) 308-6642
703-305-0333 ext.135 (V)